










APPENDIX F: Status of Recommendations Made by the LAPD & OIG in the Warrant Applications & Supporting Affidavits Audit and Arrest, Booking and Charging (ABC) Reports Audit

<u>Date of Initial Recomm'n</u>	<u>Recommendation</u>	<u>Source of Recomm'n</u>	<u>Recomm'n Addressed in Subsequent Report?</u>	<u>Recommendation Implemented?</u>
WARRANT APPLICATIONS & SUPPORTING AFFIDAVITS				
21-Jun-01	1. The Department should institute guidelines delineating a standardized process for logging, tracking, and documenting the service of search warrants.	CIG	Yes	Implemented - Department created an Investigative Warrant Tracking Log ("IWTL") 
21-Jun-01	2. A standardized system should be created outlining the requirements for the contents of a search warrant file.	CIG	No	Not implemented - Not in standard form; however, Narcotics Division has a checklist. 
21-Jun-01	3. Establish Departmental procedures addressing controls surrounding search warrants that have either not been serviced or served without any arrest.	CIG	No	Partially implemented - Partially addressed through IWTL. 
21-Jun-01	4. Subject to the development and implementation of a system, semi-annual audits should be conducted to ensure compliance with the new policies.	CIG	No	Not implemented - The recent search warrant audit dated July 2002 performed by Audit Division was not semi-annual. 
21-Jun-01	5. Future Department wide audits should scrutinize that supervisors ensure that all documents requiring supervisor approval, have the supervisor's signature in the required location.	CIG	No	Partially implemented - Exceptions: game plan and debriefing critique. 
21-Jun-01	6. Future Department wide audits should scrutinize that supervisors ensure that a copy of the search warrant return containing the appropriate signatures is placed in the search warrant package.	CIG	No	Implemented - However, some search warrant returns were missing from the packages. 
21-Jun-01	7. Future Department wide audits should scrutinize that investigating officers and supervisors ensure that all copies of the related warrant documents are contained in the search warrant packages.	CIG	No	Not implemented - Documents missing from the Search warrant packages. 
21-Jun-01	8. Future Department wide audits should scrutinize that supervisors ensure that search warrants written by less experienced investigators are reviewed for content and thoroughness as it pertains to linking probable cause for the search of vehicles and subsequent arrest of offenders.	CIG	No	Implemented 
21-Jun-01	9. The 15.7 employee report should be maintained with copies of the affidavit so the presence of supervisory oversight is readily apparent.	CIG	No	Implemented 


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WARRANT APPLICATIONS & SUPPORTING AFFIDAVITS				
27-Jul-01	1. Audit teams should maintain copies of the search warrant packages reviewed.	OIG	No	Implemented by Audit Division. ✓
27-Jul-01	2. The Department should consult persons with expertise in order to develop a clear set of auditing instructions regarding methodology, sampling, protocol.	OIG	No	Implemented by Audit Division. ✓
27-Jul-01	3. The Department should ensure that for future audits of search warrants, auditors have adequate training and experience to enable them to appropriately analyze and review search warrants.	OIG	No	Implemented by Audit Division. ✓
27-Jul-01	4. Develop a department wide tracking system to track search warrants.	OIG	Yes	Implemented. ✓
27-Jul-01	5. The Department should implement a policy requiring the following documents be contained in a search warrant package: search warrant; supporting affidavit; game or tactical plan; arrest report (if applicable); employee form; property report; and search warrant return. ✗	OIG	No	Not implemented. ✗

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ARREST, BOOKING & CHARGING					
26-Jun-01	1. Report writing and approval classes in Sergeant, Detective, Detective Supervisor, and Watch Commander Schools need to emphasize report approval considerations and the issues mentioned in the Rampart BOI and this audit.	LAPD Audit Division	Partial	Partially implemented - According to AD's ABC Audit Report dated Dec 27/01, Operations-Headquarters Bureau (OHB) coordinated with Training Group and added observation point training. No indication whether report training or other BOI issues training provided.	≈
26-Jun-01	2. Increase standard roll call training for all sworn personnel on the subjects of search and seizure, which is in addition to the eight-hour Field Officer Update training given to all sworn personnel.	LAPD Audit Division	No	Follow-up required - will be addressed in Monitor's next Quarterly Report.	NYE
26-Jun-01	3. Amend Special Order No. 10, 2000, Booking Approval and Inspection of Arrestees, and Department Manual Sections 4/216.12 and 5/030.06 to eliminate requirement that investigative supervisor who provides booking advice place his/her initials and serial number at the conclusion of the narrative portion of each report.	LAPD Audit Division	Yes	Implemented - Special Order No.8 addresses this.	✓
26-Jun-01	4. Operational Bureau commanding officers re-emphasize the requirements of Special Order No. 2, 2000, Narcotics Enforcement Activities by Department Personnel (re supervisory approval and oversight of observation point narcotics activities).	LAPD Audit Division	Partial	Partially implemented - According to AD's ABC Audit Report dated Dec 27/01, only OCB added semi-annual training to their roll call training. No indication whether other Bureaus provided this training.	≈
26-Jun-01	5. Operational Bureau commanding officers ensure, through inspections, that SEU personnel coordinate all investigations where the abating of narcotic-related crimes is the primary focus with the Field Enforcement Section, Narcotics Division (per Administrative Order No. 3, 2000, Activation of SEU (AO#3)).	LAPD Audit Division	Partial	Partially implemented - According to AD's ABC Audit Report dated Dec 27/01, OCB added semi-annual training on AO#3, and OSB confirmed all OSB SEU's were aware of provisions of AO#3 and were in compliance with requirements related thereto. No indication whether other Bureaus in compliance.	≈

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26-Jun-01	6. Increase random inspections of arrest, bookings and report approvals in Areas as well as specialized operational divisions.	LAPD Audit Division	Partial	Partially implemented - According to AD's ABC Audit Report dated Dec 27/01, OVB and OSB increased the frequency of random inspections of ABC reports; OCB assembled an inspection team to review OCB's administrative systems and operational functions, but not clear if random inspections increased; and OWB's Audit Unit increases oversight of arrest report review and approval, but not clear if random inspections increased; no indication whether random inspections increased for specialized operational divisions. 
ARREST, BOOKING & CHARGING				
1-Aug-01	1. Report writing and approval classes need to address the inappropriate use of similar or "canned" language in arrest reports; supervising officers to ensure that the use of such language is minimized.	OIG	No	Follow-up required - will be addressed in Monitor's next Quarterly Report. NYE
1-Aug-01	2. Future audits should include additional sub classifications of specialized operational divisions in addition to all geographic areas.	OIG	No	Implemented - Although the OIG's subsequent report dated Feb 28/02 does not address whether this recommendation was implemented, the Monitor notes that the AD's ABC Audit Report dated Dec 27/01 implements this recommendation. 